



Compliance & AML Analyst

We are looking for an experienced compliance & AML analyst to undertake processes for fraud prevention and assist the business on best practice and technical solutions for mitigating fraud risk, money laundering and terrorist financing. This is a hands-on pivotal role reporting to the Head of Compliance & MLRO.

Key Responsibilities:

- Undertake processes for fraud prevention and handling fraud events identified within the business and to communicate these clearly and regularly to the Head of Compliance & MLRO.
- Manage the disputed transactions process, to handle all disputed transactions and reduce the risk of fraud losses and chargebacks.
- Assist the Head of Compliance and MLRO ensuring all relevant staff are suitably trained in anti-fraud & compliance measures.
- Assist the business on best practice and software and technical solutions for reducing Fraud, Money Laundering and Terrorist Financing.
- Production of a monthly report for the Head of Compliance & MLRO on identified Fraud, Money Laundering and Terrorist Financing, as well as the Customer Due Diligence and AML activities of the Compliance Department.
- Maintaining documented procedures reflecting the activities of the Compliance Department;
- Analysing patterns of unusual and fraudulent behaviour, updating procedures to prevent such activity in the future.
- Managing the private client and corporate client Customer Due Diligence process.
- To liaise with partners as requested by the Head of Compliance Manager and MLRO
- To discuss regulatory and card scheme issues.
- Keep up to date with any changes to the Company's AML policy.
- Any other duties, ad hoc or otherwise, that may be required from to time to time.

Essential Skills & Experience:

- Experience in FX and specifically the prepaid card arena.
- Understanding of fraud risks and chargeback processes.
- Understanding of current financial crime trends and typologies.
- Ability to work independently and as part of a team.
- Good knowledge of Microsoft Excel, Word and other MS Office packages.
- Excellent organisational skills to manage workload.
- Excellent written and oral communication skills.
- Ability to work accurately.
- Attention to detail.

About the company

Caxton FX is a multi-award winning fin-tech FX company that has become an entrepreneurial success story.

Founded in 2002, Caxton FX now turns over circa £1 billion revenue with hundreds of



thousands of clients. Our reputation for great value and amazing service is based on the strong work ethic and excellence culture of the business. Amongst other accolades Caxton FX has been named as one of the Top 50 businesses leading Britain.

The Caxton culture is open, honest, hardworking, professional and fun. With a growing team (currently 120), the company is going through an important and exciting growth phase which is focused on new product launches and geographical development. We want to hire the very best people from all sorts of disciplines to support our ambitious growth plans so if you are the best at what you do then we want to hear from you.

Our benefits include:

- Competitive basic (dependent on experience)
- 20 – 25* days annual leave + bank holidays
- An annual educational bursary towards studying a professional or regulatory qualification
- Contributory Pension
- BUPA Health Insurance OR a Cash Plan
- Free Breakfast

Working Hours and Environment:

- Monday to Friday 8.30am to 5.30pm with one hour for lunch
- Open plan office
- Office in a central location in Victoria
- Regular Social events

Visit caxtonfx.com for further information on our products and services.

To apply, please send your CV and short covering letter to careers@caxtonfx.com
Applicants must be eligible to work in the UK